## SCEC Code Validation: TPV101 Rate-and-State Friction, Ageing Law, Whole-Space

**Model Geometry:** A planar fault lies in an isotropic, linear elastic whole-space. The material on either side of the fault is characterized by its density  $\rho$ , *S*-wave speed  $c_s$ , and *P*-wave speed  $c_p$ . The properties are given in the table below and are constant everywhere in the medium.

ρ	$\mathcal{C}_{S}$	$\mathcal{C}_p$
$2670 \text{ kg/m}^3$	3.464 km/s	6 km/s

To simplify later expressions, a coordinate system will be adopted in which the fault is the plane z = 0, with the hypocenter located at  $(x_0, y_0) = (0, 7.5 \text{ km})$ . This is shown in the figure below. The central portion of the fault, -W < x < W, 0 < y < W, with W = 15 km, is velocity-weakening. A transition layer of width w = 3 km in which the frictional properties continuously change from velocity-weakening to velocity-strengthening surrounds the central velocity-weakening region of the fault. Outside of the transition region, the fault is velocity-strengthening.



**Friction Law:** Let  $\mathbf{\tau} = (\tau_x, \tau_y)$  be the shear traction vector (specifically, the traction exerted by the positive side of the fault on the negative side), the magnitude of which is  $\tau = \sqrt{\tau_x^2 + \tau_y^2}$ , and let  $\sigma$  be the normal stress acting on the fault, taken to be positive in compression. In terms of the components of the stress tensor  $\sigma_{ij}$ ,  $\tau_x = \sigma_{zx}$ ,  $\tau_y = \sigma_{zy}$ , and  $\sigma = -\sigma_{zz}$ . Let  $V = (V_x, V_y)$  be the slip velocity vector, the magnitude of which is  $V = \sqrt{V_x^2 + V_y^2}$ , and let  $\boldsymbol{\delta} = (\delta_x, \delta_y)$  be the slip vector. Slip is defined as the displacement

discontinuity across the fault:  $\delta_i = u_i(x,y,0^+) - u_i(x,y,0^-)$  (i = x,y), where  $u_i(x,y,z)$  is the displacement field. Likewise,  $V_i = v_i(x,y,0^+) - v_i(x,y,0^-)$  (i = x,y), where  $v_i(x,y,z)$  is the particle velocity. Finally, let  $\theta$  be the state variable on the fault. The shear traction is always equal to the shear strength of the fault, which is a function of *V*,  $\sigma$ , and  $\theta$ , as well as the friction law parameters  $f_0$ ,  $V_0$ , a, b, and L:

$$\tau = a\sigma \operatorname{arcsinh}\left[\frac{V}{2V_0} \exp\left(\frac{f_0 + b\ln(V_0\theta/L)}{a}\right)\right].$$
 (1)

The state variable evolves according to the equation

$$\frac{d\theta}{dt} = 1 - \frac{V\theta}{L}.$$
(2)

The slip velocity vector points in the direction of the shear traction vector:

$$\frac{\tau}{\tau} = \frac{V}{V}.$$
(3)

The friction law parameters are given in the table below. Note that with the exception of *a*, they are uniform on the fault.

$f_0$	$V_0$	a(x,y)	b	L
0.6	10 <sup>-6</sup> m/s	$0.008 + \Delta a(x,y)$	0.012	0.02 m

To stop the rupture, the friction law changes from velocity-weakening in the rectangular interior region of the fault to velocity-strengthening sufficiently far outside this region. The transition occurs smoothly within a transition layer of width w = 3 km. Outside the transition layer, the fault is made velocity-strengthening by increasing *a* by  $\Delta a_0 = 0.008$ .

The change in a, which is added to the value of a in the velocity-weakening interior of the fault, is

$$\Delta a(x,y) = \Delta a_0 \Big[ 1 - B(x;W,w) B(y - y_0;W/2,w) \Big],$$
(4)

in which

$$B(x;W,w) = \begin{cases} 1, & |x| \le W \\ \frac{1}{2} \left[ 1 + \tanh\left(\frac{w}{|x| - W - w} + \frac{w}{|x| - W}\right) \right], & W < |x| < W + w \\ 0, & |x| \ge W + w \end{cases}$$
(5)

is a mathematically smooth version of the boxcar function (meaning that *B* and all of its derivatives are continuous).

**Initial Conditions:** At t = 0, the fault is everywhere sliding in the horizontal direction with initial velocity  $V = V_{ini}$ . The initial shear stress on the fault, which is also horizontal, is  $\tau_{ini}$ , the normal stress is  $\sigma_{ini}$ , and the initial value of the state variable is  $\theta_{ini}(x,y)$ . Note that the initial state variable is spatially variable, but the initial velocity and stresses are uniform. This is because the initial conditions must be self-consistent, in the sense that they must satisfy (1). Since the friction law parameter *a* is spatially variable, then, in order for the initial velocity and stress fields to be uniform,  $\theta_{ini}$  must also be spatially variable. The values of the initial conditions are given in the table below.

V <sub>ini</sub>	$ au_{ini}$	$\sigma_{ini}$	$\theta_{ini}(x,y)$
$10^{-12} \text{ m/s}$	75 MPa	120 MPa	1.606238999213454×10 <sup>9</sup> s
			$+\Delta\theta(x,y)$
			= 50.899729562171359 yr
			$+\Delta\theta(x,y)$

From equation (1), it follows that

$$\theta_{ini}(x,y) = \frac{L}{V_0} \exp\left[\frac{a\ln(2\sinh(\tau_{ini}/a\sigma_{ini})) - f_0 - a(x,y)\ln(V_{ini}/V_0)}{b}\right].$$
 (6)

In the medium surrounding the fault, the only nonzero stresses are the horizontal shear stress and the normal stress component acting on the fault; these values are uniform and identical to those on the fault:

$$\sigma_{zx}(x,y,z) = \tau_{ini} \text{ and } \sigma_{zz}(x,y,z) = -\sigma_{ini} \text{ at } t = 0.$$
(7)

The medium is initially moving with equal and opposite horizontal velocities of  $V_{ini}/2$  on the two sides of the fault:

$$v_{x}(x,y,z) = \begin{cases} V_{ini}/2, & z > 0\\ -V_{ini}/2, & z < 0 \end{cases} \text{ at } t = 0.$$
(8)

Displacement in the medium and slip on the fault are measured from zero at t = 0.

**Nucleation Method:** Starting at t = 0, rupture is nucleated by imposing a horizontal shear traction perturbation (i.e., a perturbation to  $\tau_x$ ) that depends on both space and time. The particular form is such that the perturbation smoothly grows from zero to its maximum amplitude  $\Delta \tau_0$  over a finite time interval *T*, and is confined to a finite region of the fault of radius *R*. The perturbation is mathematically smooth in time and space (i.e., the function and all derivatives are continuous). Specifically, the perturbation is

$$\Delta \tau(x, y, t) = \Delta \tau_0 F\left(\sqrt{(x - x_0)^2 + (y - y_0)^2}\right) G(t),$$
(9)

in which

$$F(r) = \begin{cases} \exp\left(\frac{r^2}{r^2 - R^2}\right), \ r < R\\ 0, \qquad r \ge R \end{cases}$$
(10)

and

$$G(t) = \begin{cases} \exp\left[\frac{(t-T)^2}{t(t-2T)}\right], \ 0 < t < T\\ 1, \qquad t \ge T \end{cases}$$
(11)

The perturbation is radially symmetric, with the radial distance away from the hypocenter along the fault given by  $r = \sqrt{(x - x_0)^2 + (y - y_0)^2}$ . The nucleation parameters are given in the table below.

$\Delta  au_{0}$	R	Т	$(x_0, y_0)$
25 MPa	3 km	1 s	(0,7.5 km)

# SCEC Code Validation: TPV102 Rate-and-State Friction, Ageing Law, Half-Space

This problem is identical to TPV101, but the fault is embedded in a half-space rather than a whole-space. In terms of the coordinate system defined for TPV101, the half-space is the region y > 0. The plane y = 0 is a free surface.

## SCEC Code Validation: TPV101 and TPV102 Rate-and-State Friction, Required Output

For both TPV101 and TPV102, the following data should be submitted to the code validation website (where instructions for the data file formats can be found):

#### **Time Histories of Fields at Fault Stations:**

Report the complete time histories from t = 0 to t = 12 s of both components of slip ( $\delta_x$  and  $\delta_y$ ) and slip velocity ( $V_x$  and  $V_y$ ), all tractions ( $\tau_x$ ,  $\tau_y$ , and  $\sigma$ ), and the base-10 logarithm of the state variable ( $\log_{10} \theta$ ) at each of the following nine stations on the fault:



#### **Rupture Front Arrival Times:**

Report the rupture front arrival time at all points within the velocity-weakening portion of the fault (-W < x < W, 0 < y < W), where W = 15 km. The rupture front arrival time is defined as the time at which the slip velocity, V, first exceeds 1 mm/s.

### Time Histories of Fields at Free Surface Stations (TPV102 only):

Report the complete time histories from t = 0 to t = 12 s of all components of displacement and particle velocity at each of the following six stations on the free surface:

x (km)	0	0	12	12	-12	-12
<i>z</i> (km)	9	-9	6	-6	6	-6

