

The background of the slide is a microscopic image showing a complex, layered structure. It features irregular, wavy bands of a light blue or greyish color, interspersed with darker, more granular regions. The overall appearance is that of a fractured or sheared material, possibly a composite or a biological tissue, with a rough, textured surface.

# Modelling frictional faults as plastic shear bands in nonlinear media

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Moab fault, Utah



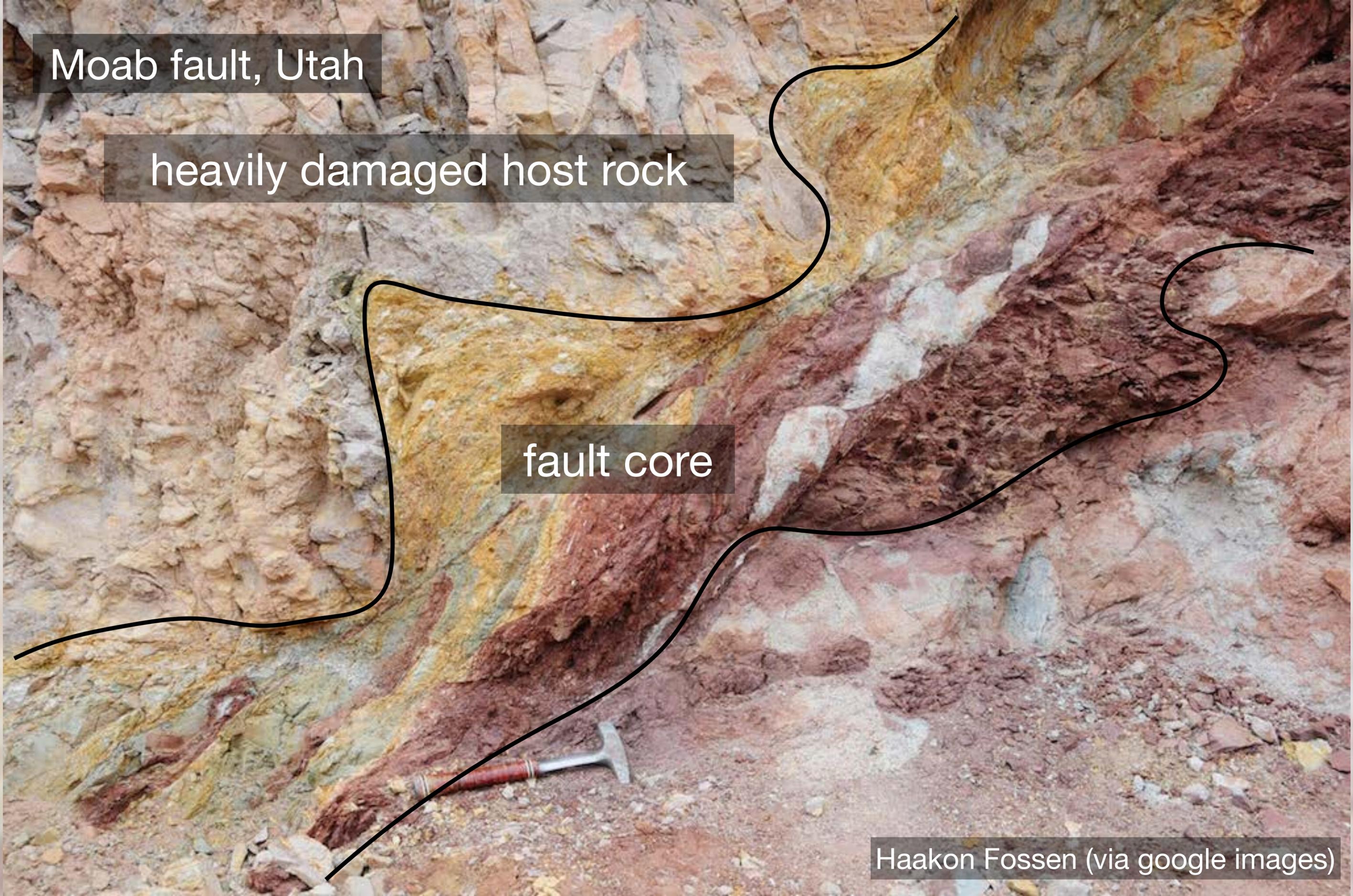
Haakon Fossen (via google images)

Moab fault, Utah

heavily damaged host rock

fault core

Haakon Fossen (via google images)



Moab fault, Utah

heavily damaged host rock

slip planes

Haakon Fossen (via google images)



Moab fault, Utah

heavily damaged host rock

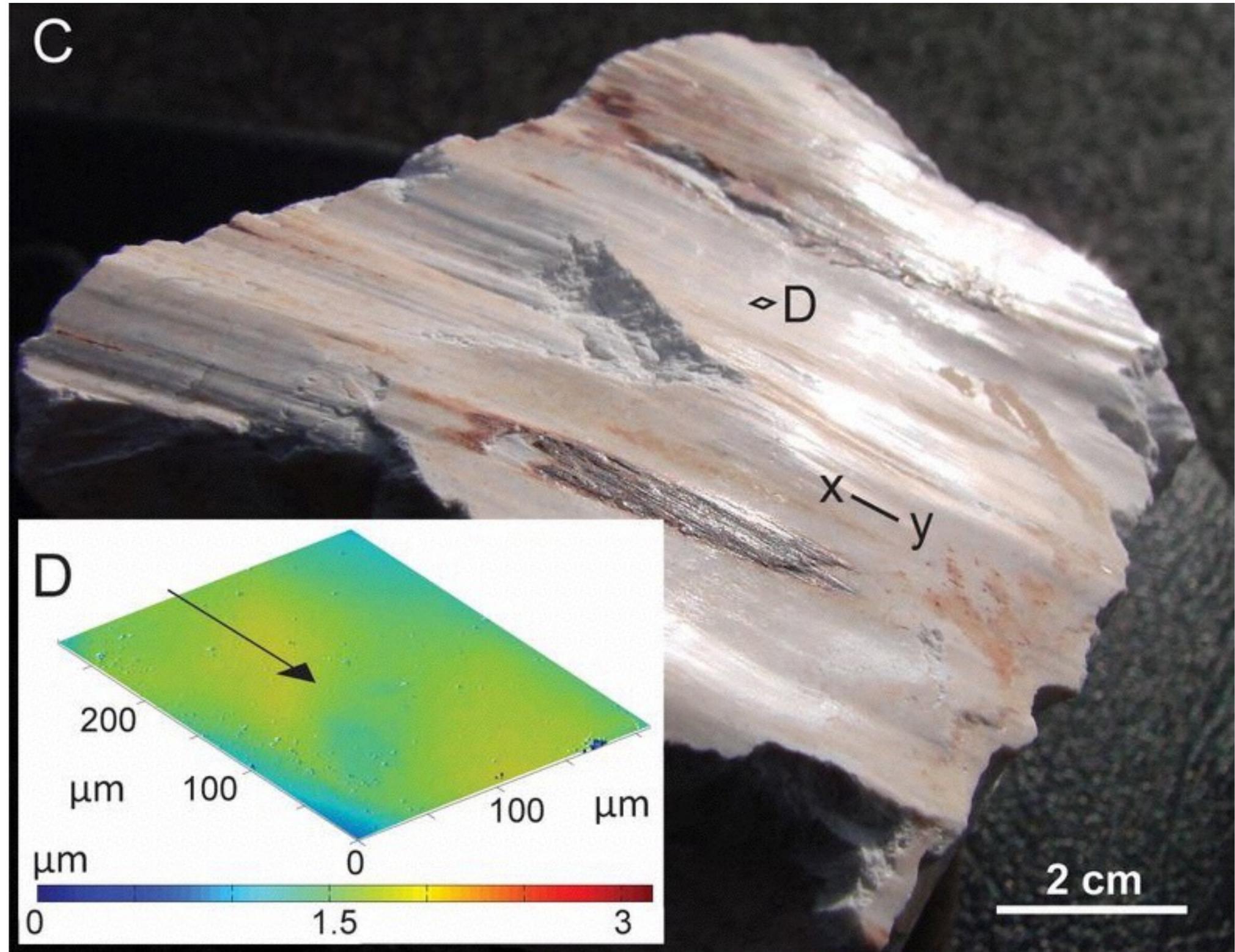
R' shear

Haakon Fossen (via google images)

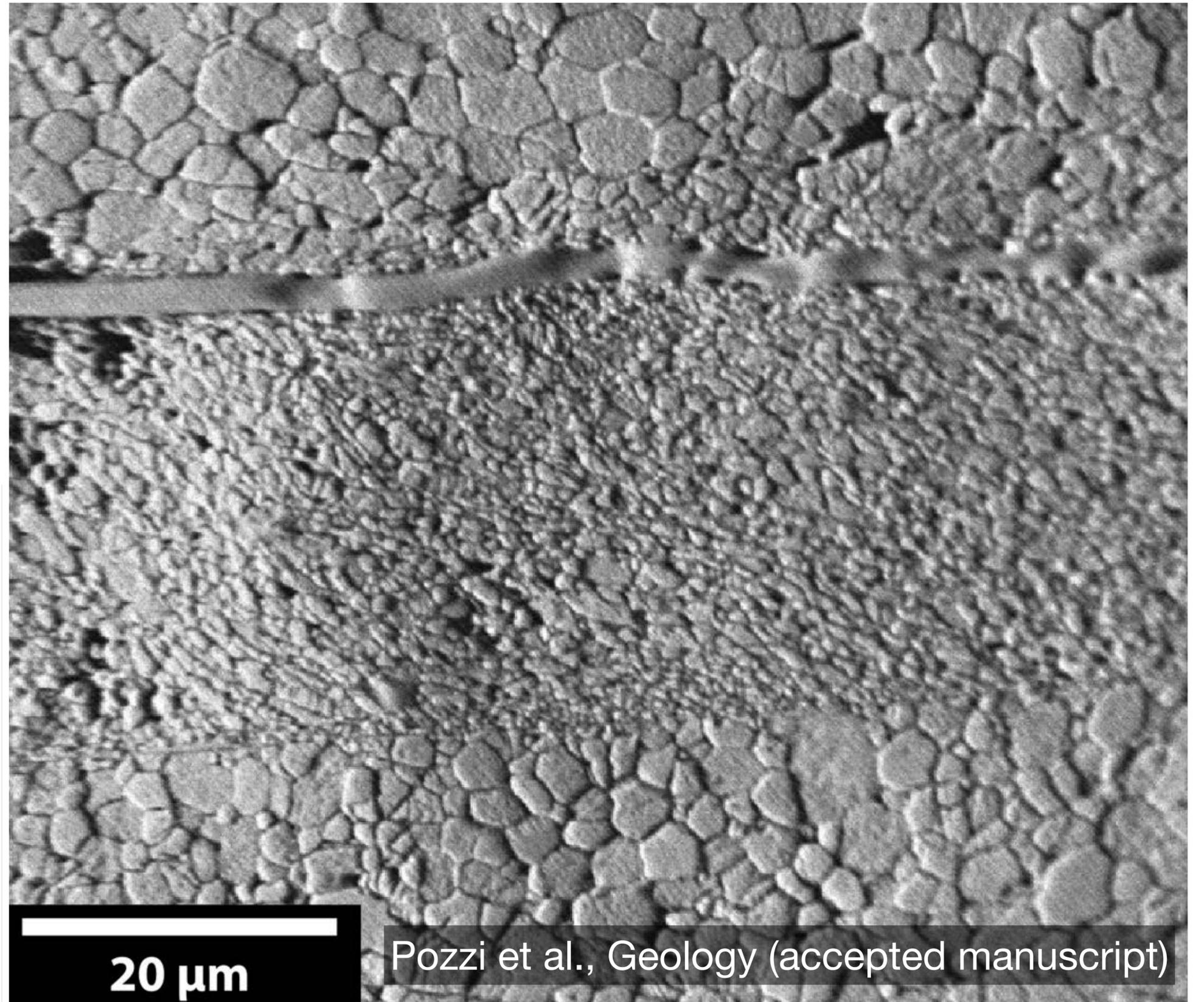
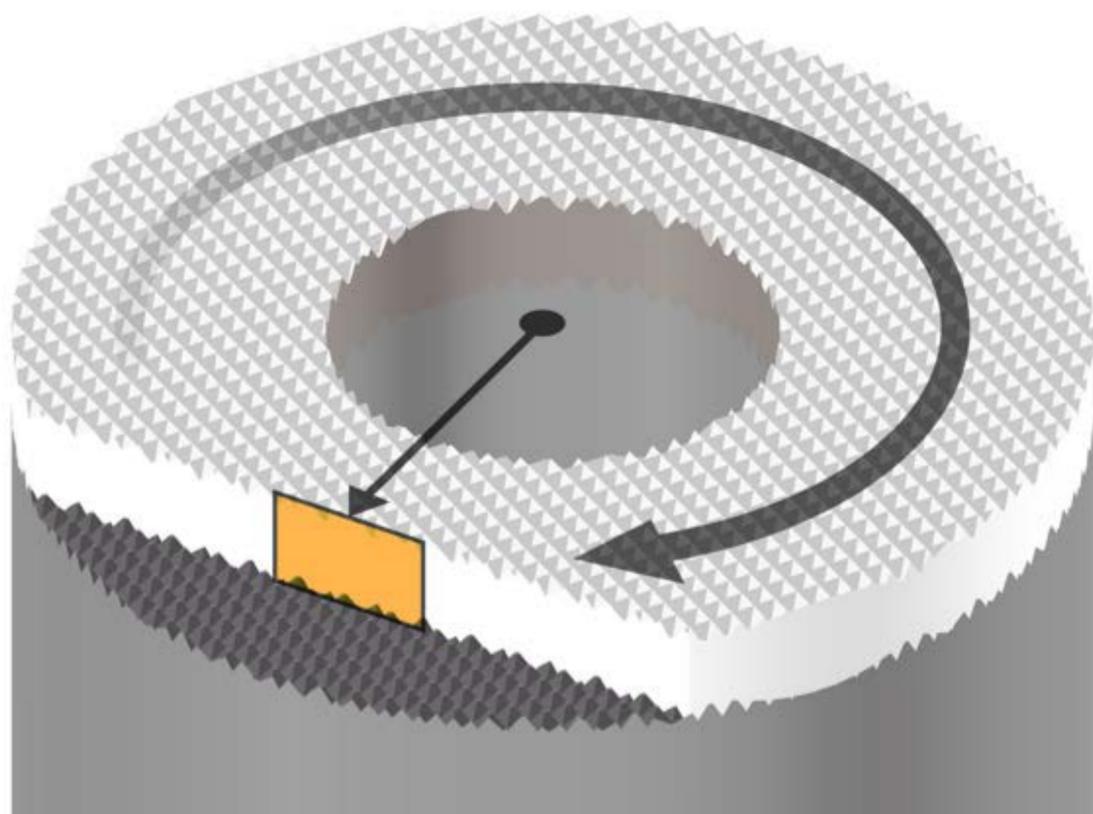




Kfar Giladi quarry, Israel  
(Siman-Tov et al., 2013, Geology)



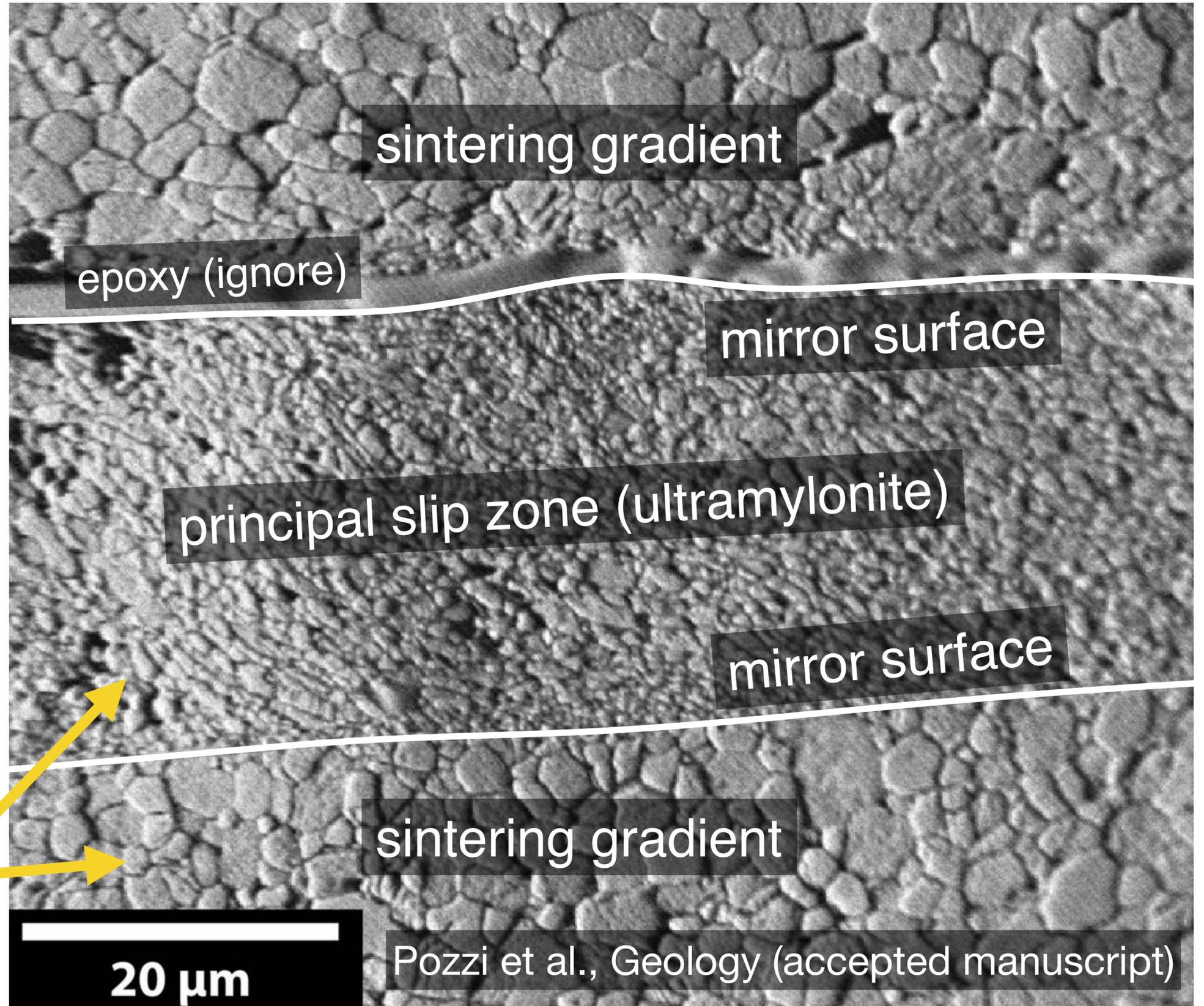
Foiana Line (Italian Southern Alps)  
(Fondriest et al., 2013, Geology)



## Factbox

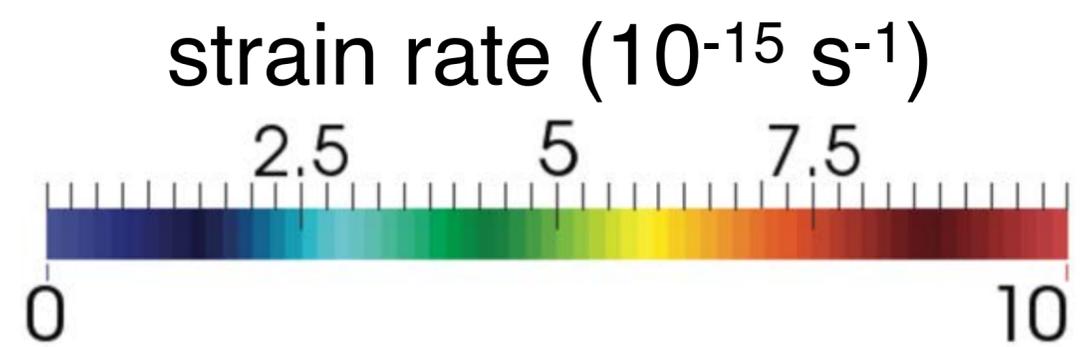
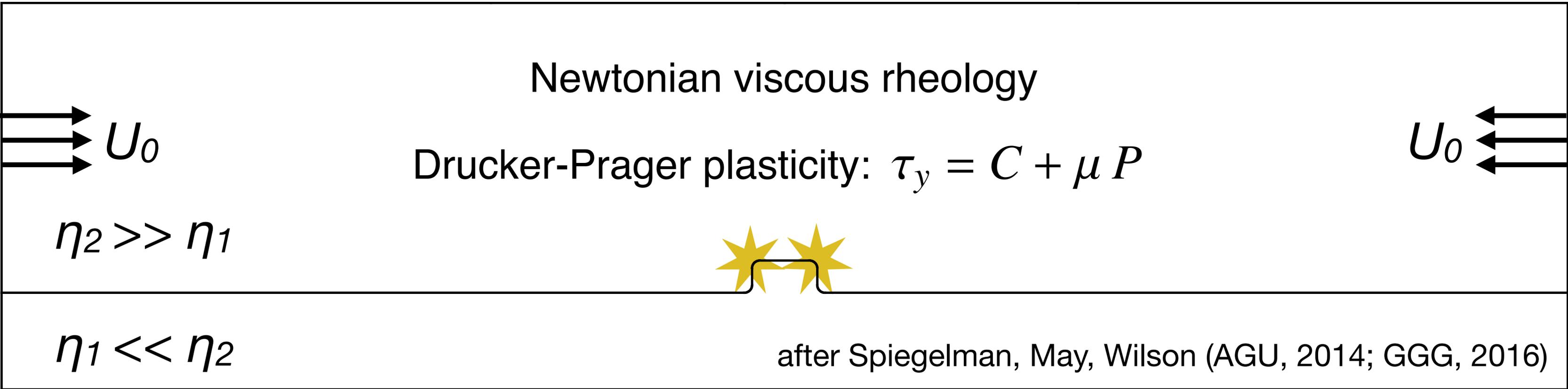
- ❖ calcite (other materials too)
- ❖ pressure: 20 MPa ( $\sim 1$  km)
- (i) initial powder GS: 63-90  $\mu\text{m}$
- (ii) cataclastic band GS:  $\sim 100$  nm
- (iii) sintered GS:  $> 2$   $\mu\text{m}$
- (iii) ultramylonite GS:  $\sim 700$  nm
- ❖ duration:  $< 1$  s
- ❖ slip rate:  $1.4 \text{ m s}^{-1}$
- ❖ thickness: 30  $\mu\text{m}$
- ❖ strain rate:  $\sim 10^4 \text{ s}^{-1}$

**grain size sensitive  
rheological bifurcation!**

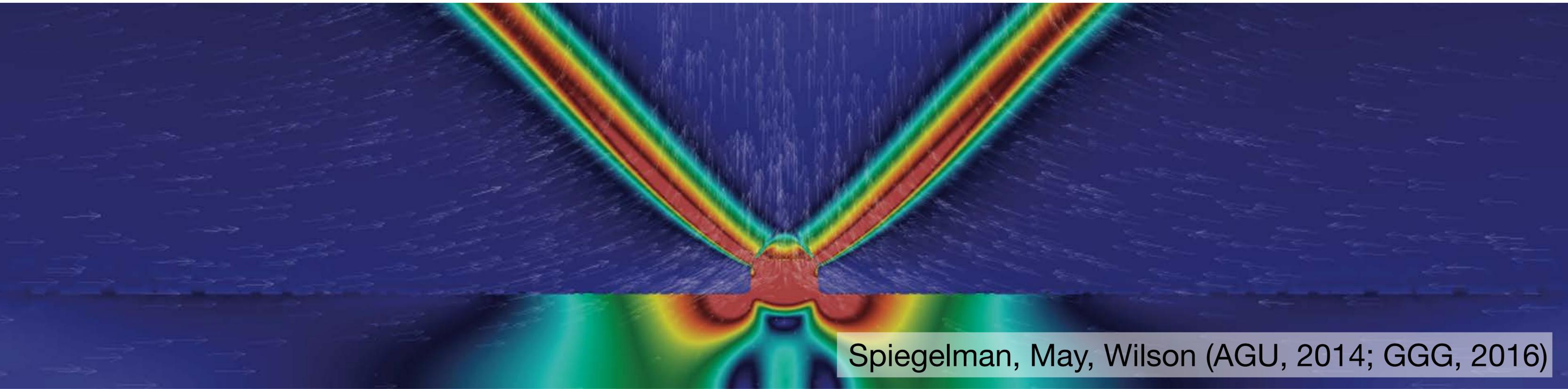
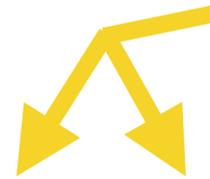


## Observations

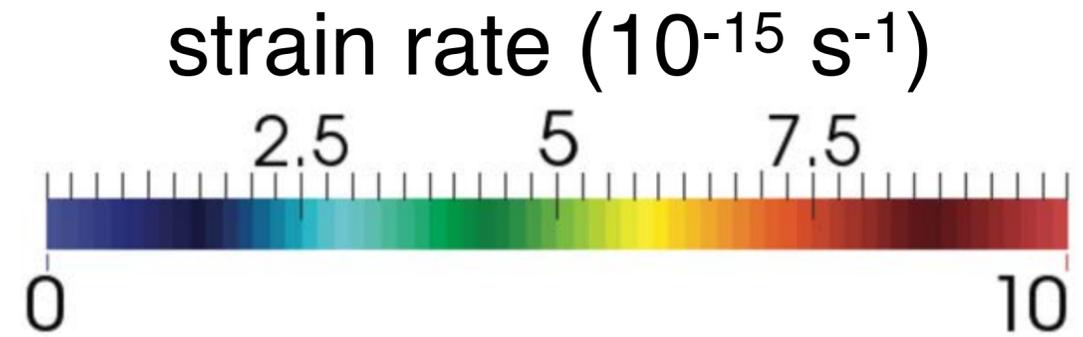
- ❖ Fault zones are thin compared to the scale of the crust
  - ↳ Modelling seismic/aseismic slip transients as interfacial processes makes sense!  
(Especially when off-fault brittle damage generation is included.)
- ❖ Fault zone physics can be complicated bulk rock processes
- ❖ Faults evolve spontaneously in response to a changing stress environment
- ❖ Faults live in nonlinear media (porous flow & several creep mechanisms)
  - ↳ Frictional plasticity provides a consistent way to model these features!



stress-dependent  
rheological bifurcation!



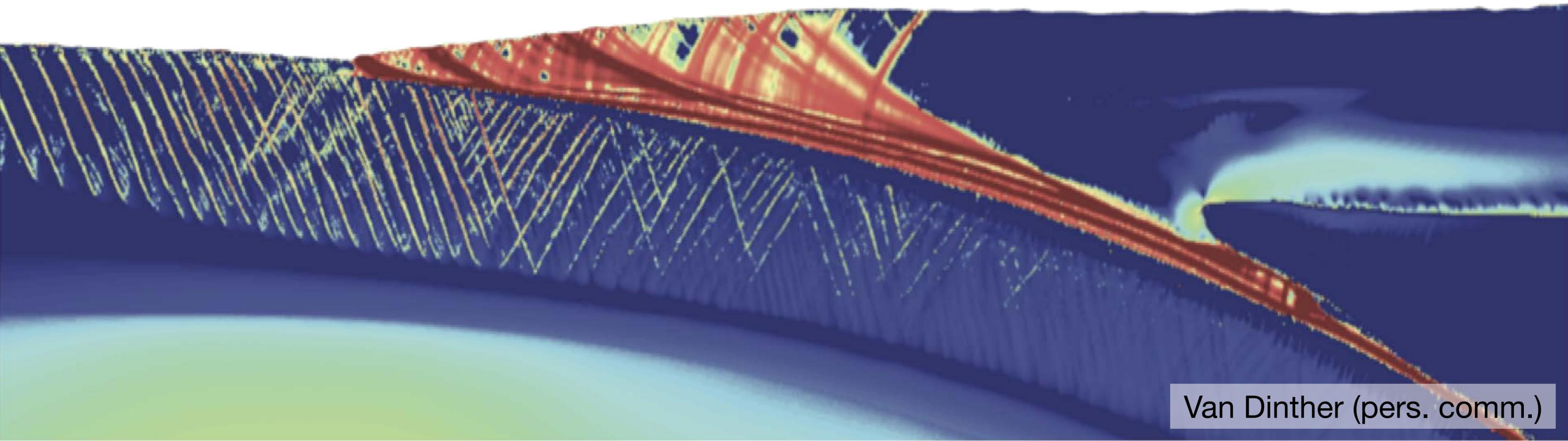
Spiegelman, May, Wilson (AGU, 2014; GGG, 2016)



Nonlinear visco-elastic rheology

Drucker-Prager plasticity:  $\tau_y = C + \mu P$

Spontaneous evolution from incipient subduction over Ma

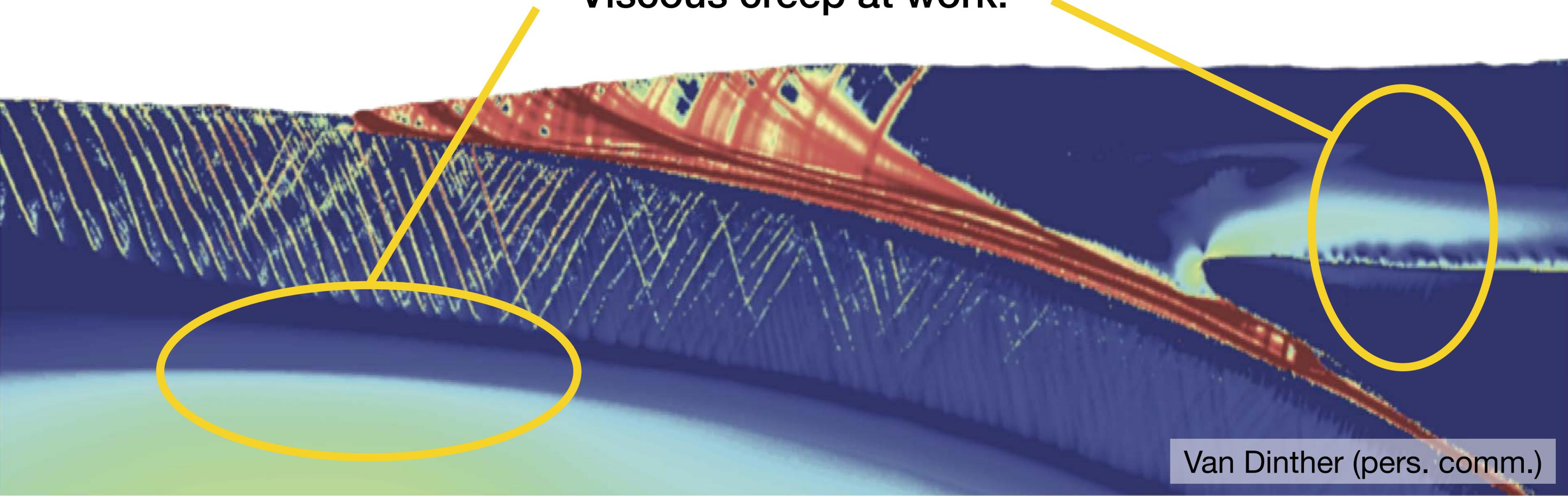


Nonlinear visco-elastic rheology

Drucker-Prager plasticity:  $\tau_y = C + \mu P$

Spontaneous evolution from incipient subduction over Ma

Viscous creep at work!

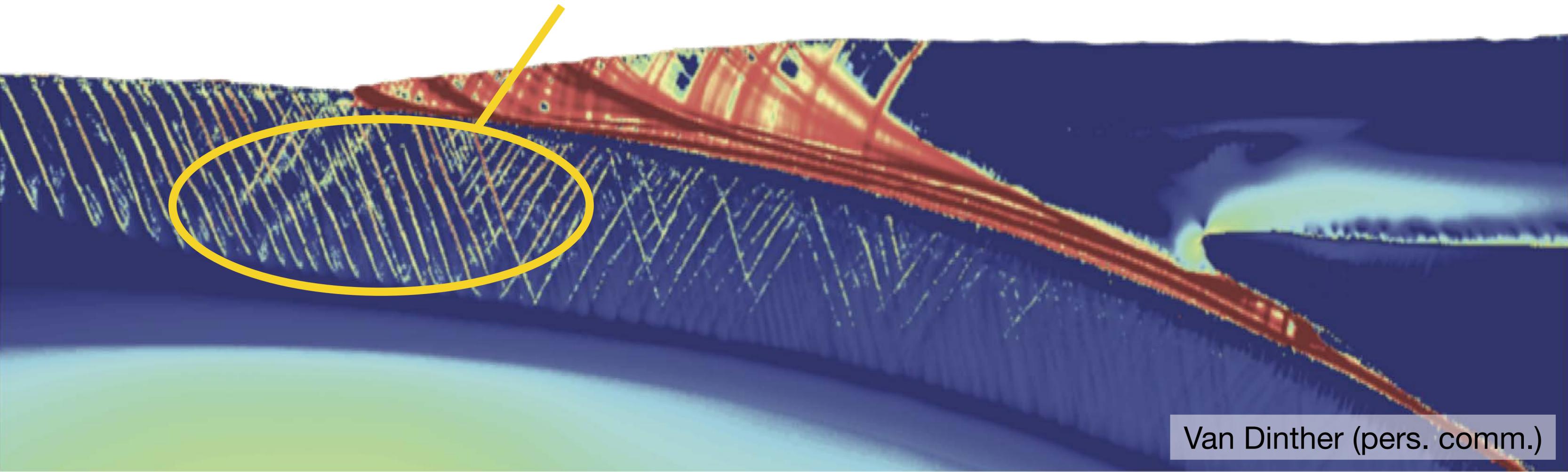


Nonlinear visco-elastic rheology

Drucker-Prager plasticity:  $\tau_y = C + \mu P$

Spontaneous evolution from incipient subduction over Ma

**Bending-induced normal faulting!**

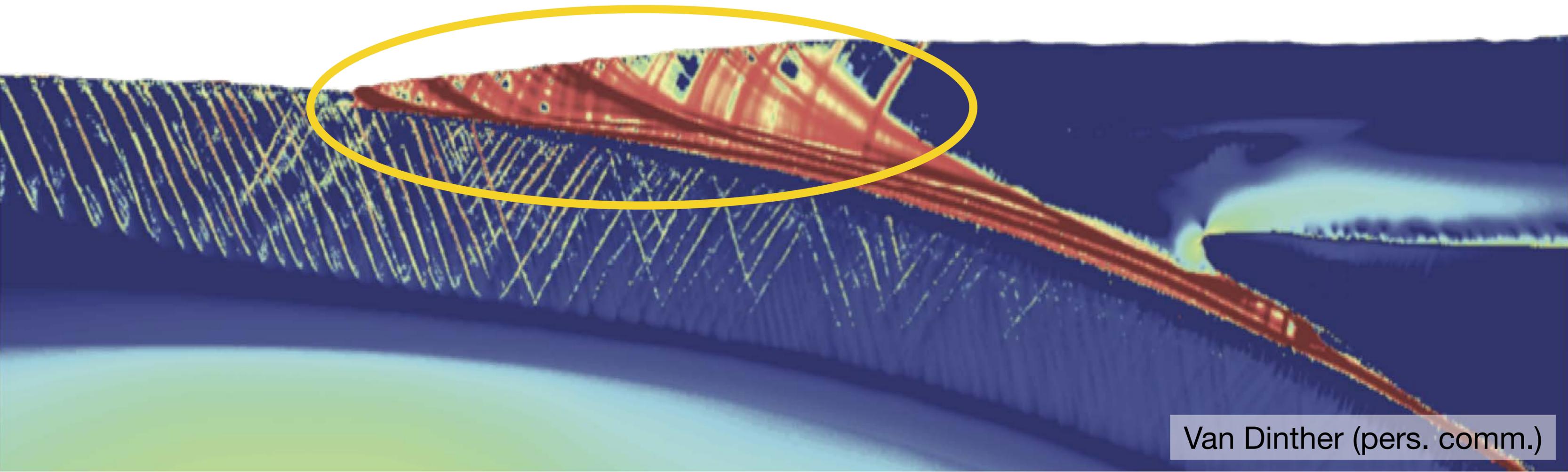


Nonlinear visco-elastic rheology

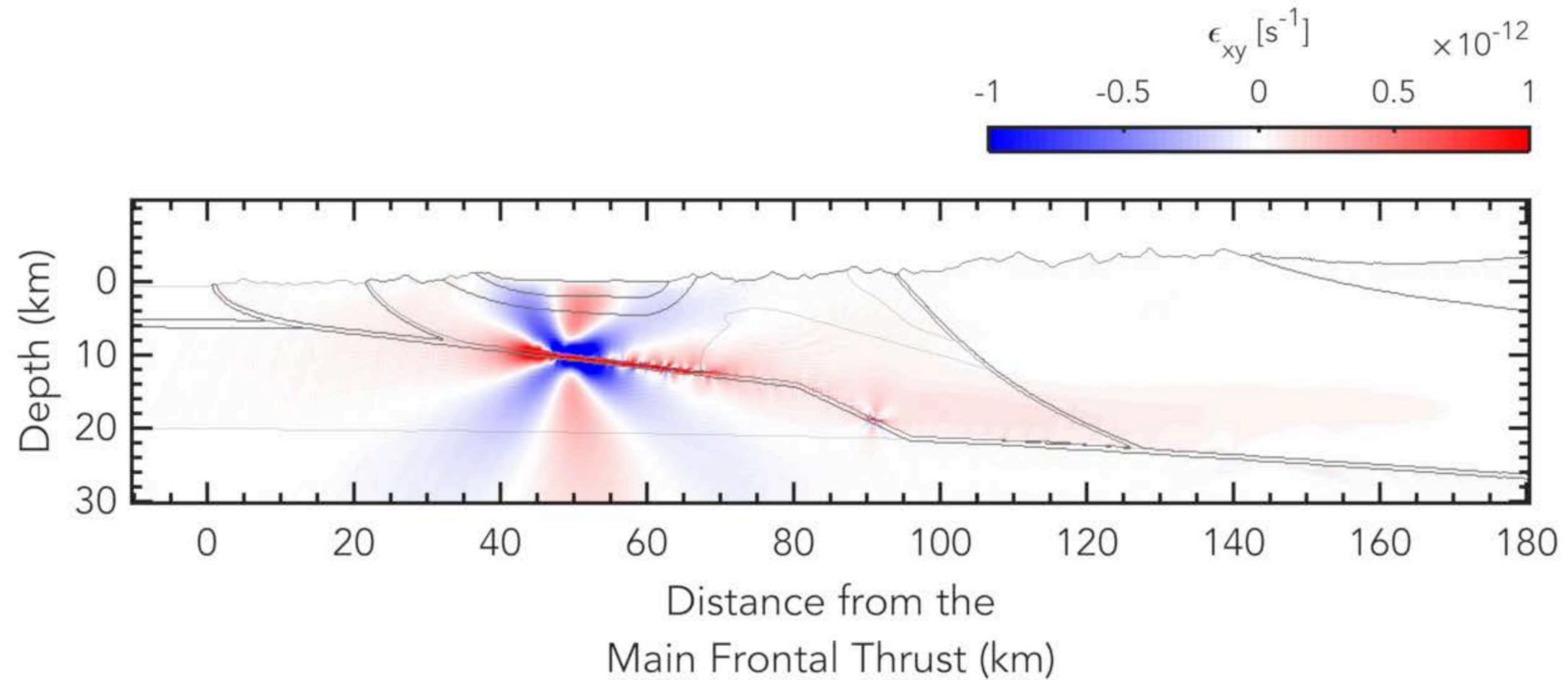
Drucker-Prager plasticity:  $\tau_y = C + \mu P$

Spontaneous evolution from incipient subduction over Ma

**Splay faulting and extensive failure of the wedge!**



(movie)



## Postulations

❖ The rate-and-state friction formulation is sufficiently general to include bulk rock processes

❖ We extend RSF to ...

$$\text{❖ } \dot{\epsilon} = \dot{\epsilon}_e + \dot{\epsilon}_v + \dot{\epsilon}_p$$

$$\text{❖ } V \longrightarrow 2 D \dot{\epsilon}_{eff}^p$$

$$\text{❖ } \dot{\epsilon}_{eff}^p = \Pi(\dot{\epsilon}_p)$$

$$\text{❖ } \tau_y(P, \dot{\epsilon}_{eff}^p, \Theta) = a P \sinh^{-1} \left( \frac{1}{2} \frac{\dot{\epsilon}_{eff}^p}{\dot{\epsilon}_0^p} \exp \left( \frac{1}{a} \left( \mu_0 + b \log \left( \frac{2 D \dot{\epsilon}_0^p \Theta}{L} \right) \right) \right) \right)$$

$$\text{❖ } \dot{\Theta} = 1 - \frac{2 D \dot{\epsilon}_{eff}^p}{L} \Theta$$

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### **implications:**

◆ a-b < 0: complete localisation in finite time

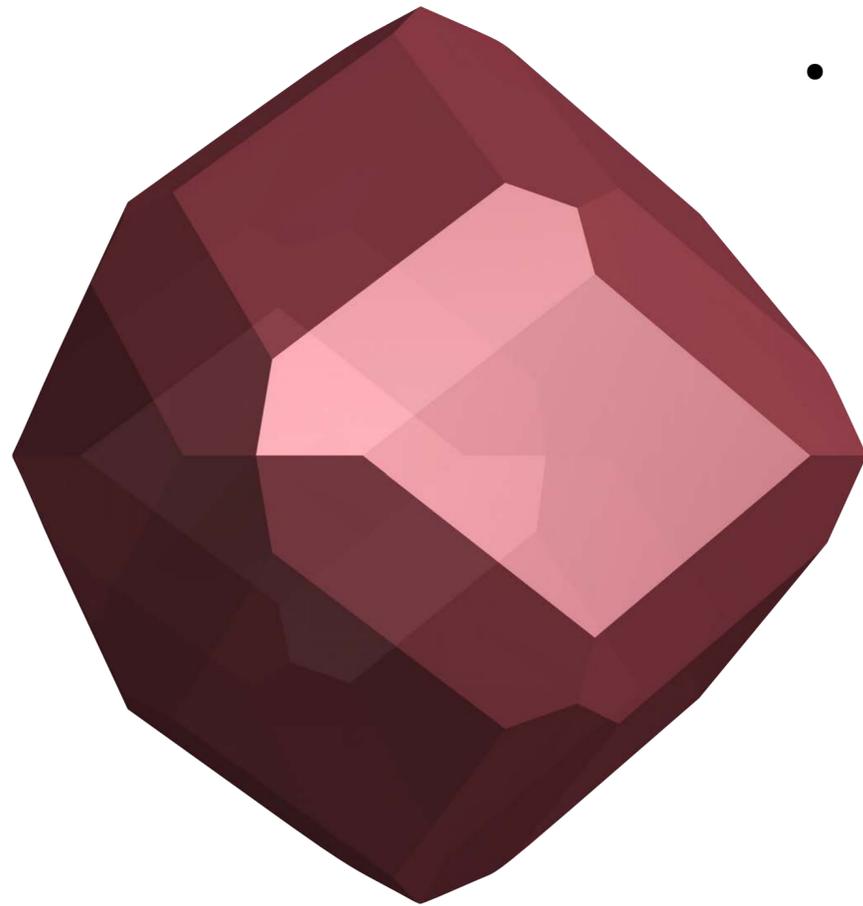
◆ @ full localisation: D = dx recovers discrete behaviour

◆ @ full localisation: unstable slip transients

◆ approximation errors: stress invariant, grid orthotropy

◆ stress = strength everywhere, self-organising rheology

- ❖ GARNET is a header-only, modern C++(14) library
- ❖ Implements basic concepts from continuum mechanics:
  - tensor- and scalar-valued fields
  - differential (and other) operators
    - tensor product, staggered grid FD (but deformable)
    - time-integrators, preconditioners, (nonlinear) solvers (PETSc)
      - ❖ Library implements *all* numerics, *no* physics (ideally)



# GARNET

EARTH SCIENCES

**G**ENERAL

**R**EGULAR GRID

**N**EWTON-KRYLOV

**T**IME-DEPENDENT

**R**ESIDUAL-BASED

**N**ONLINEAR

**T**IGHTLY-COUPLED

**A**LGORITHM

**R**OOT-FINDING

**N**-DIMENSIONAL

**T**OOLBOX

- ❖ GARNET is completely generic w.r.t. physics and problem dimensionality
- ❖ User declares model space and defines objective functions
- ❖ Jacobian is automatically finite-differenced from the objective function:  $O(N)$  performance
- ❖ Matrix-free Newton-Krylov solvers for solving coupled nonlinear EQ
- ❖ Field-split, geometric/algebraic assembled preconditioners  $\rightarrow O(N)$  performance
- ❖ MPI-3 shared memory + point-to-point communication, aggressive inlining
- ❖ Paper *in prep.*, code freely available in the future.



## Features (**Wishlist**)

### Physics

- ❖ rate-and-state friction
- ❖ acoustic & seismic WP
- ❖ visco-elasto-plasticity
- ❖ inertial dynamics
- ❖ PVE 2-phase flow
- ❖ tsunami propagation
- ❖ thermal adv.-diff.
- ❖ shear heating
- ❖ radiation damping

### Solution strategies

- ❖ PC MF NK solver
- ❖ adaptive timestepping
- ❖ back-tracking advection
- ❖ marker-based advection
- ❖ explicit time marching

### Performance

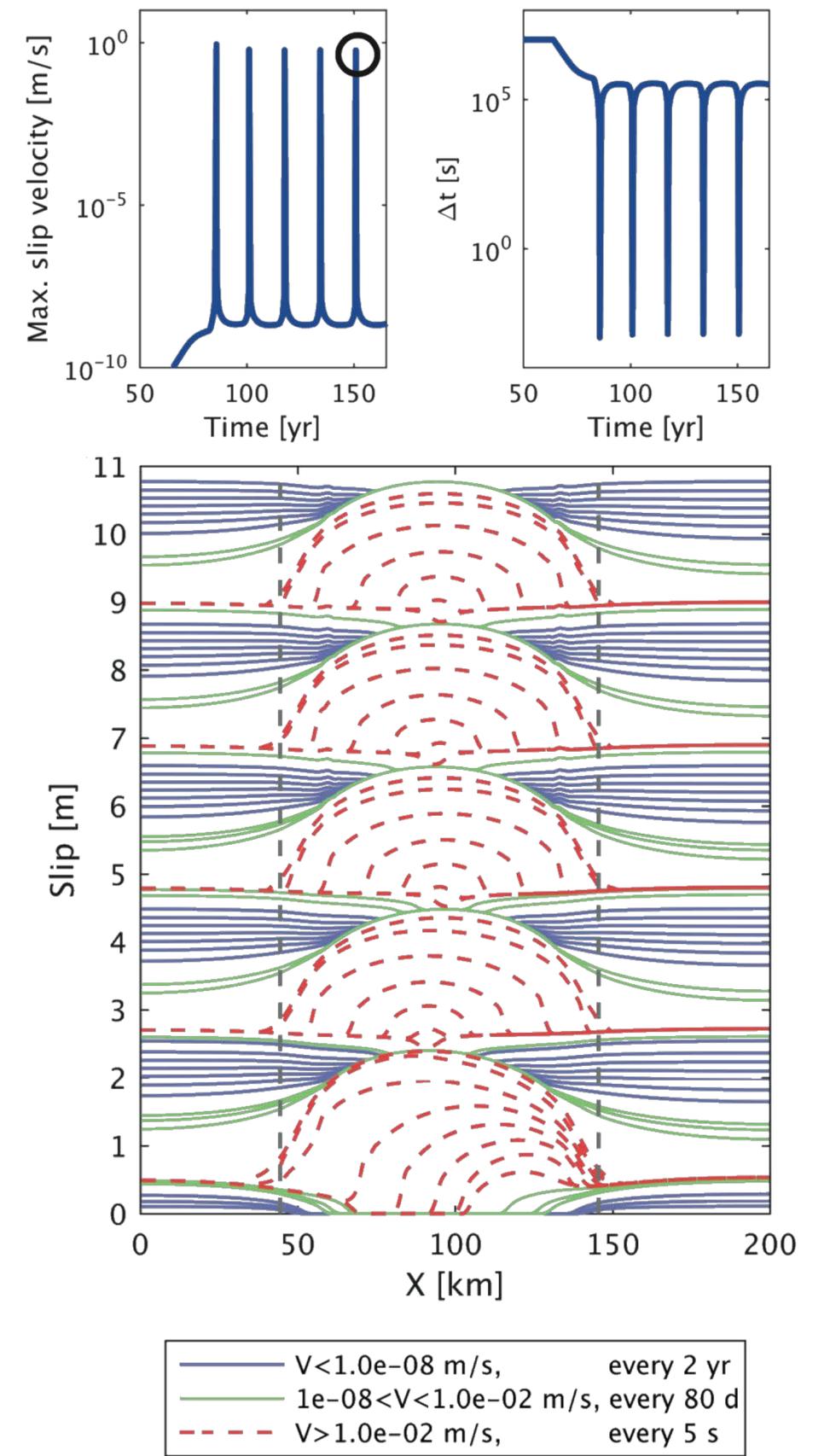
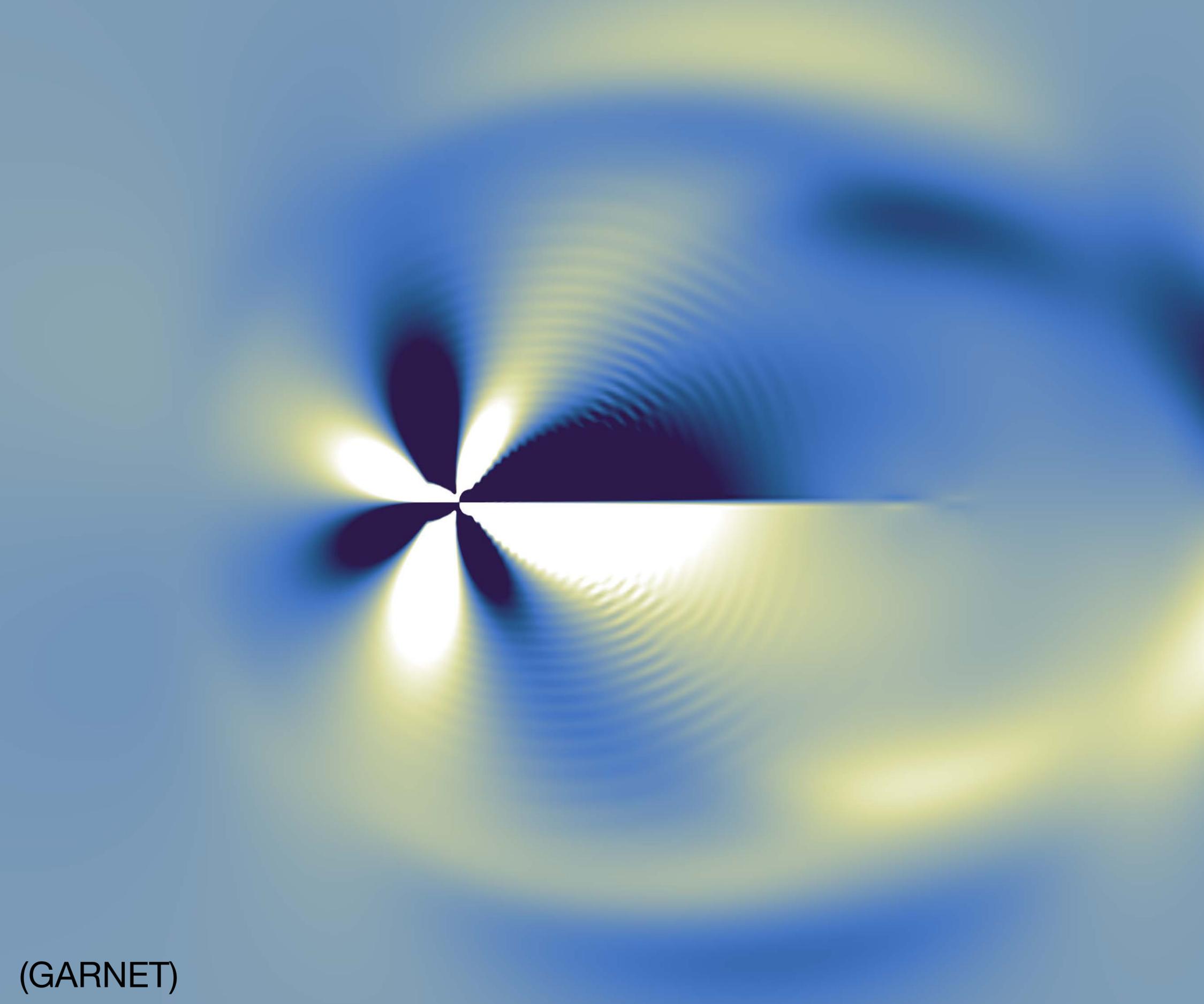
- ❖ MPI-3 shared memory
- ❖ MPI P2P communication
- ❖ aggressive inlining
- ❖ GPU where possible

### Miscellaneous

- ❖ 1D / 2D / 3D
- ❖ absorbing BC
- ❖ deformable grids
- ❖ non-local plasticity
- ❖ out-of-plane modelling
- ❖ checkpointing

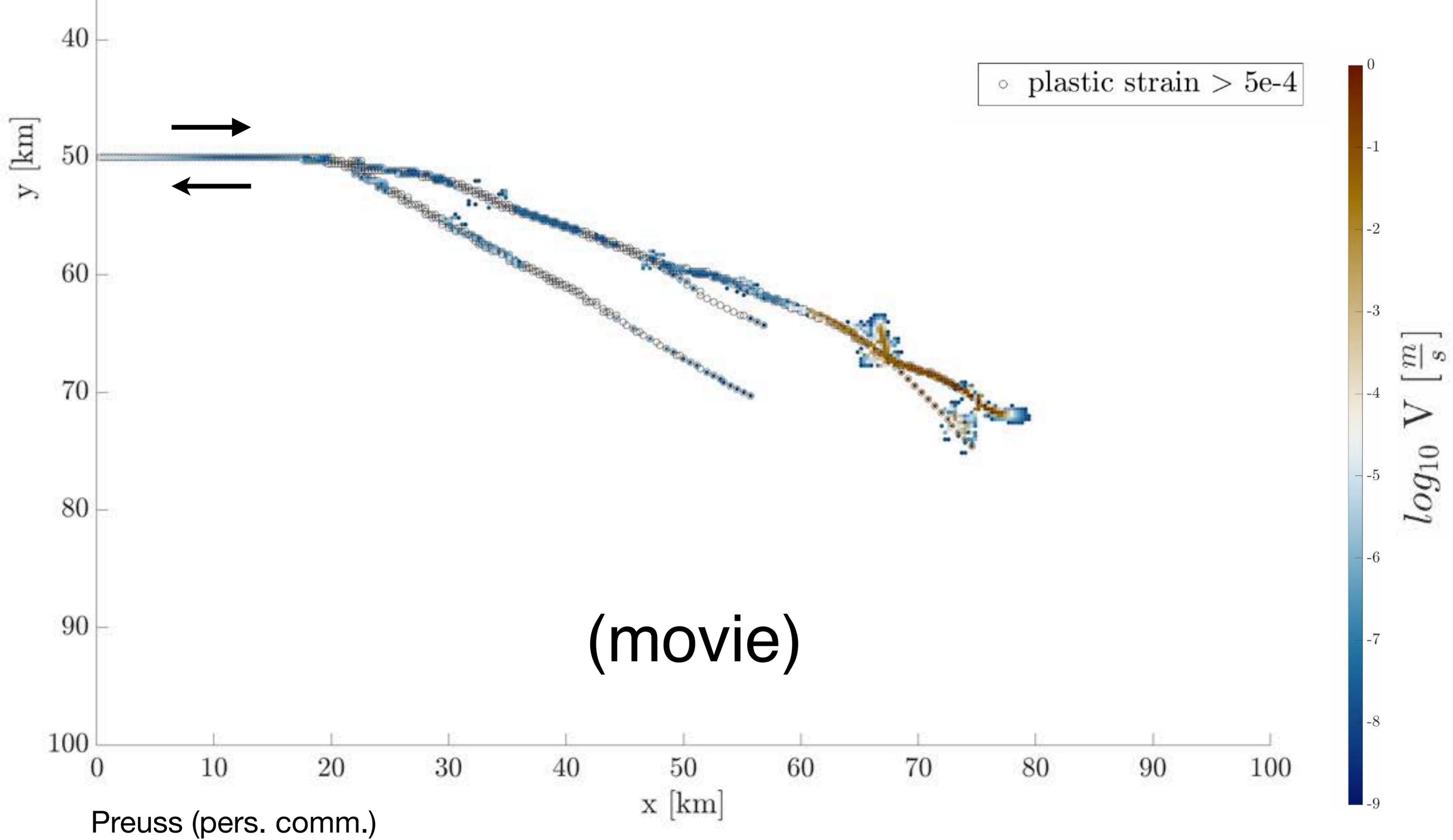
GARNET





Herrendoerfer et al. (submitted)

(GARNET)



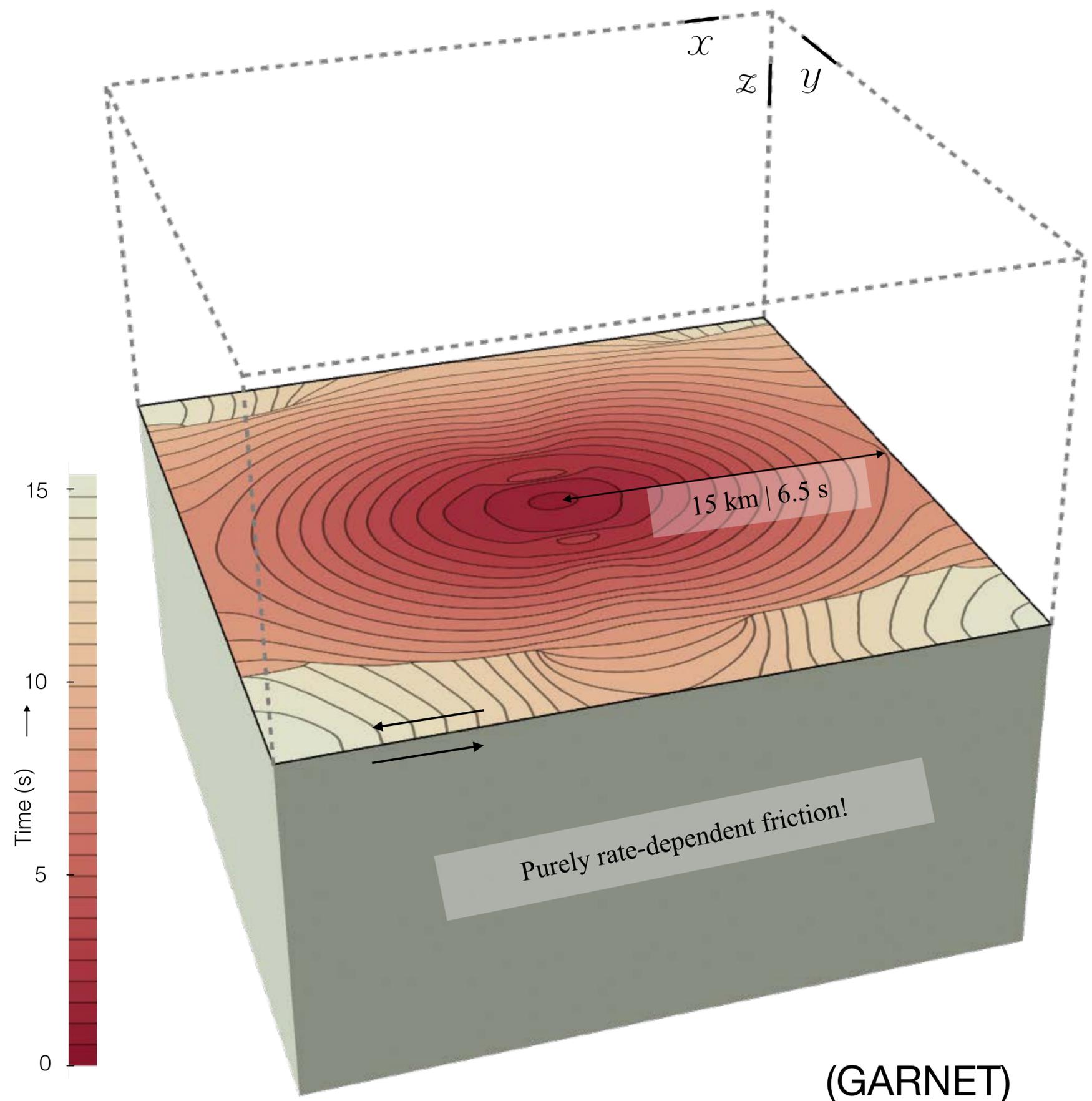
## Concluding remarks

- ❖ Frictional Plastic shear bands potentially enable long-term seismic cycle modelling with spontaneous fault evolution.
- ❖ Our group is making progress, but the technique is not mature yet.
- ❖ Being the odd one out, the SEAS effort is very interesting to us!

### Future directions:

- ❖ More physics-based approach to fault evolution, two-phase formalism.
- ❖ Towards natural setup (3D), subduction zone seismicity.

**additional slides**



(GARNET)

```

auto SlipRate = [&]( double  $\Lambda$ , double  $\tau_{II}$  )
    { return 2 *  $\Omega$ .h[1] *  $\Lambda$  *  $\tau_{II}$ ; };

auto YieldFunc = [&]( double  $\tau_{II}$ , double V, double P, double  $\Phi$ , double b )
    { return  $\tau_{II}$  - a * P * std::asinh( 0.5 * V/V0 * std::exp(( $\mu_0$ +b*std::log(V0* $\Phi$ /L))/a) ); };

auto MomentumBalance = [&]( auto& rhs )
{
     $\theta$ ();  $\epsilon$ ().RemoveTrace();

    // d $\tau$ /dt = 2 G (- $\Lambda$   $\tau$  + d $\epsilon$ /dt)
     $\tau$ .TrivialSolve<BDF<2>>();

    // dP/dt = - K  $\theta$ 
    P.TrivialSolve<BDF<2>>();

    rhs.Set( [&]( double  $\Delta\tau$ , double  $\Delta P$  ) { return ( $\Delta\tau$ - $\Delta P$ ) /  $\rho$ ; },  $\Delta\tau$ (),  $\Delta P$ () );
};

auto Residual = [&]( auto& Rv, auto& f )
{
    v. template Residual<BDF<2>>(Rv);

    V.Set( SlipRate,  $\Lambda$ , II( $\tau$ [0]) );

    // d $\Phi$ /dt = 1 - V $\Phi$ /L
     $\Phi$ .TrivialSolve<BDF<2>>();

    f.Set( YieldFunc,  $\tau_{II}$ , V, P,  $\Phi$ [0], b );
};

```

